#### **Oxide Reduction in Advanced Metal Stacks for Microelectronic Applications**

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# **ABSTRACT**:

Aluminum and copper are widely used for microelectronic interconnect applications. Interfacial oxides can cause device performance degradation and failure by significantly increasing electrical resistance. Interfacial oxide layers found in Al/Ta and Ta/Cu metal stacks were studied using Transmission Electron Microscopy (TEM) combined with Energy Dispersive Spectroscopy (EDS) and Parallel Electron Energy Loss Spectroscopy (PEELS). The analysis indicates that the observed interfacial oxide layers, Al<sub>2</sub>O<sub>3</sub> and mainly Ta<sub>2</sub>O<sub>5</sub>, result from spontaneous reductions of Ta oxide and Cu oxide, respectively. Thermodynamics enables interpretation of the results.

## **INTRODUCTION:**

Advanced metallization schemes are commonly used for microelectronic manufacturing. For high-performance integrated circuits, the semiconductor industry has made a switch from  $Al/SiO_2$  to Cu/low-k dielectric metallization; however, lower performance chips still utilize Al metallization, and some applications use both Cu and Al in the same chip. For instance, Al can be used to make a process compatible with previously existing wire-bonding and packaging schemes. It can also be used for metallization of top layers to protect the underlying Cu from oxidation.

In the metallization process, oxidation of individual film surface can lead to further reactions and will ultimately affect physical properties of the stack, such as the electrical conductivity, wettability, and adhesion. Some of the effects are beneficial to the device performance, while others are detrimental. It is therefore important to understand, control and utilize such oxidation.

Concerning the oxidations of Al, Ti and Si, both Al and Ti have a higher affinity for oxygen than Si does. As a result, when either Al or Ti is deposited on SiO<sub>2</sub>, it can reduce the underlying SiO<sub>2</sub> [1-6]. A similar behavior has been reported for metal, metal-oxide interfaces in powders [7].

Reduction of surface oxides has been found to correlate with wettability, and eventually the enhancement of adhesion in different metal-oxide systems [8, 9]. For instance, metals with higher oxygen affinity showed higher wettabilities in the case of metal-TiO interfaces [10]. This eventually resulted in an improved adhesion. In Ti/GaAs and Ti/Si systems, the presence of high oxygen concentrations has been found to result in increased adhesion [11].

This paper reports analyses of interfacial oxides in Al/Ta and Ta/Cu stacks, discussions of the corresponding oxide reductions and process modifications. The physical property of concern is the electrical conductivities of the stacks.

# **EXPERIMENTAL DETAILS**:

The metal film-stacks were deposited on Si wafers. In the case of the Al/Ta stack, the Ta deposition was followed by that of Al. Surface of the Ta layer was exposed to the air during transfer of the wafer to a different tool for the deposition of Al, and there was no surface clean prior to the Al deposition.

For the Ta/Cu stack, a Cu film was photolithographically patterned, and the photoresist used for patterning was then stripped in an ashing process. The process resulted in an oxidation of the exposed Cu surface. Prior to Ta deposition, a RF sputter clean was used to remove the surface Cu oxide. The RF sputter is equivalent to the process used to remove 10 nm of SiO<sub>2</sub>.

Cross-section TEM analyses were used to investigate the Al/Ta and Ta/Cu interfaces in the stacks. A focused ion-beam (FIB) pre-thin method was used to prepare the TEM samples. A 3 mm wide and 10 micron thick slice was prepared using mechanical grinding. FIB was then used to thin the area of interest down to electron translucency. Imaging was performed using parallel illumination. Energy-dispersive spectrometry (EDS) and parallel electron energy-loss spectrometry (PEELS) were used for elemental analysis. Analyses were performed using an electron-beam focused to a ~1 nm fine probe. A field-emission gun TEM was used, operating at an accelerating voltage of 200 kV.

# A. Reduction of Ta oxide by Al

A high-resolution TEM micrograph, obtained from the Al/Ta stack, is shown in Fig. 1. The micrograph reveals the presence of a 3-5 nm amorphous layer between Ta and Al.



Figure 1. High-resolution TEM micrograph obtained from the Ta/Al stack. An amorphous layer of a low mass-density between Al and Ta is visible.

Figure 2 presents EDS spectra, one obtained from the Al layer, and the other from the amorphous interfacial layer. Al and O peaks are evident. The Si  $K_{\alpha}$  peak arose from Si re-deposited on the area of interest during TEM sample preparation, as well as fluorescence of the Si substrate and SiO<sub>2</sub> as the interlayer dielectric material present in the sample. After subtractions of the O  $K_{\alpha}$  components from background (mainly excitations by Bremsstrahlung X-rays and un-collimated electrons) and re-deposition, and Al  $K_{\alpha}$  components from background, the interfacial layer is calculated to contain 40 at. % Al and 60 at. % oxygen, using the ratio method and thin-film criterion [12, 13]. The oxide is therefore inferred to be Al<sub>2</sub>O<sub>3</sub>.



Figure 2. EDS spectra from Al layer and from interfacial layer between Al and Ta. The Si peak arose from Si re-deposited on the area of interest during TEM sample preparation, as well as fluorescence of the Si substrate and  $SiO_2$  as the interlayer dielectric material present in the sample.

The surface of the Ta was oxidized during exposure to air. The native surface oxide on Ta has been characterized by XPS [14] as being  $\sim 85\%$  Ta<sub>2</sub>O<sub>5</sub> with the remainder possibly being Ta<sub>2</sub>O and Ta<sub>2</sub>O<sub>3</sub> [15] or TaO and TaO<sub>2</sub> [16]. In the present study, Al was deposited on the oxidized Ta surface. Al could reduce this surface oxide based on the following reaction [17]:

10 Al + 3 Ta<sub>2</sub>O<sub>5</sub> → 5 Al<sub>2</sub>O<sub>3</sub> + 6Ta, 
$$\Delta F$$
 = - 489 K Cal/Mole at 25 °C. (1)

The free energy of this reaction is negative, indicating that the reaction is thermodynamically favorable. The presence of oxide, whether Ta based or Al based, will increase the electrical resistance of the metal stack.

#### **B. Reduction of Cu oxide by Ta**

The interface in the Ta/Cu stack was next investigated. Patterning and etching, used for wafer fabrication, resulted in an oxidized Cu surface. The oxidation occurred during an ash step to strip the photoresist. An RF sputter was used to clean the Cu surface prior to the Ta deposition. The sputter was equivalent to a process to remove 10 nm of  $SiO_2$ . The TEM image in Fig. 3a shows a 3-4nm interfacial layer.

The interfacial layer was investigated using EDS and PEELS spectral profiling in scanning TEM mode. Integrated intensity of Ta  $L_{\alpha}$  X-ray peaks is shown in Fig. 3b. The following formula was used to calculate the areal densities of Cu and O [18]:

$$N = \frac{I_k(\beta, \Delta)}{I(\beta, \Delta) \cdot \sigma_k(\beta, \Delta)}$$
(2)

In the expression above, N is the areal density,  $\beta$  is the collection angle,  $\Delta$  is the energy range over which integration of electron intensity is performed,  $I_k(\beta, \Delta)$  is core-loss integral including



Figure 3. (a) High-resolution TEM micrograph obtained from the Ta/Cu stack. A 3-5 nm amorphous interfacial layer is visible. (b) Ta, Cu, O areal-density profiles from integrated intensities of Ta  $L_{\alpha}$  X-ray, Cu  $L_{2,3}$  ionization edge and O K ionization edge.

plural scattering,  $I(\beta, \Delta)$  is the low-loss integral, and  $\sigma_k(\beta, \Delta)$  is a partial ionization cross-section for energy losses within  $\Delta$  of the ionization threshold.

No Cu  $L_{2,3}$  edge was detected when the electron beam was positioned within the interfacial layer [19]. PEELS spectra from the interfacial layer, and from the Cu layer, are shown in Fig. 4a. Fig. 4b shows an EDS spectrum obtained from the interfacial layer. Standardless EDS quantification shows 65 at. % O and 35 at. % Ta. Native oxides on Ta have been found to be predominantly Ta<sub>2</sub>O<sub>5</sub> with other sub-oxides [20]. Among the possible Ta oxides (Ta<sub>2</sub>O<sub>5</sub>, TaO<sub>2</sub>, TaO), Ta<sub>2</sub>O<sub>5</sub> is the most stable, and difficult to reduce [20]. Based on this information and our experimental data, it is reasonable to infer that the surface Cu oxide was reduced spontaneously by the Ta. Two reduction reactions are possible, based on the free energies of formation [17]:



Figure 4. (a) Electron energy-loss spectra from Cu metal layer and Ta-Cu interfacial-oxide layer. (b) EDS spectrum from Ta-Cu interfacial-oxide layer.





Figure 5. High resolution TEM images of the Al/Ta interface processed with a pre-Al RF sputter removal of the Ta surface oxide (a), and the Al/TaN interface which was not exposed to the air between the depositions of TaN and Al (b).

$$2\text{Ta} + 5 \text{Cu}_2\text{O} \rightarrow \text{Ta}_2\text{O}_5 + 10 \text{Cu}, \Delta F = -272 \text{ K Cal/Mole at 25 °C}$$
 (3)

$$2\text{Ta} + 5 \text{CuO} \rightarrow \text{Ta}_2\text{O}_5 + 5 \text{Cu}, \Delta F = -120 \text{ K Cal/Mole at 25 °C}$$
 (4)

Other reactions resulting in Ta sub-oxides were not evaluated due to the unavailability of relevant free-energies in the literature.

## **C. Process Optimization**

The analytical results presented above showed interfacial oxides responsible for increased electrical resistance of metal stacks. A few possible solutions were evaluated to reduce the resistance to acceptable values. In one case, the Ta-surface oxide was removed using a RF sputter-clean prior to Al deposition. A second solution involved replacing the Ta with TaN, deposited in the same chamber as the Al, without an intervening air-exposure. No interfacial oxide was found in either case as shown in the high-resolution TEM images presented in Fig. 5. Electrical testing of via chains using these modified processes showed a 10x reduction in resistance.

# CONCLUSIONS

High resistances were found in metallization stacks containing thin films of Al/Ta, and Ta/Cu. The presence of interfacial oxide layers was responsible for the high resistances. The interfacial oxides resulted from air exposure between depositions of Al and Ta in the Al/Ta stack, and from an ash used to remove photoresist in the Ta/Cu stack. The surface oxides became interfacial oxides upon deposition of metal over-layers. Ta surface oxide was reduced by the overlying Al, and Cu surface oxide by the overlying Ta. The oxide layers were analyzed using PEELS and EDS in TEM. Metallization resistances were reduced to acceptable values by removing the Ta-oxide using a pre-Al sputter. Replacing Ta with TaN, deposited in the same chamber without air exposure, resulted in a similar drop in metallization resistance.

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